Comparison of oxygenation and ventilation parameters between proseal laryngeal mask airway and endotracheal tube in patients undergoing laparoscopic surgeries: a prospective observational study

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Received: 17th May, 2018 Accepted: 26th September, 2018

Abstract

Introduction: Use of endotracheal tube (ETT) has many limitations. There is a need for an airway device with similar safety and good side effect profile. In this prospective observational study, the oxygenation and ventilation parameters between patients who were inserted Proseal laryngeal mask airway (PLMA) or ETT for laparoscopic surgeries were compared.

Materials and Methods: After ethical committee approval, informed consent was obtained from sixty patients (thirty in each group) posted for elective laparoscopic surgery. Following induction of general anaesthesia and paralysis, PLMA or ETT was inserted and pressure control mode was used for ventilation. The following parameters were measured: a. Oxygen saturation and changes in FiO2 needed to ensure a target SpO2 > 95%, EtCO2 and changes in respiratory rate and inspiratory pressure needed to ensure a target EtCO2 between 35-45 mmHg. b. Insertion characteristics of airway device and gastric tube, hemodynamic responses, incidence of complications. Statistical Analysis: Chi-square test was used to analyze qualitative data. Independent t test was used to assess quantitative variables. Repeated measures ANOVA was used to compare the outcome measures over time adjusted for duration of surgery and anaesthesia. P values<0.05 considered significant.

Results: Both groups were comparable with respect to age, gender and weight. Oxygenation and ventilation parameters achieved with both ETT and PLMA were comparable and satisfactory. Haemodynamic parameters increased significantly in ETT group compared to PLMA group during airway insertion and removal. Also, postoperative sore throat was comparatively less in the PLMA group.

Conclusion: Hence we conclude that PLMA may be considered as an alternative to ETT in airway management of patients undergoing laparoscopic surgeries with comparable oxygenation and ventilation parameters and improved side effect profile.

Keywords: Endotracheal tube, Proseal laryngeal mask airway, Laryngoscopy, Pneumoperitoneum.

Introduction

Use of endotracheal tube (ETT) has been a standard practice to maintain patient’s airway during general anaesthesia.1 Supraglottic airway devices (SAD) with continuing improvement in characteristics are evolving and are replacing or used as a safe alternative to ETT in several clinical settings. The advantages of endotracheal intubation include reliability and minimal risk of aspiration. Direct laryngoscopy for intubation necessitates training and practice to attain good skill. Complications of laryngoscopy and intubation include hypertensive episodes, myocardial injury, arrhythmias etc. because of autonomic stimulation.2 Supraglottic airway devices can avoid such complications.3

Among various supraglottic devices, Proseal laryngeal mask airway (PLMA) has many advantages including better airway seal because of a dorsal cuff and provision of drainage of regurgitated gastric fluid via the drain tube. PLMA can be used for both spontaneous and controlled ventilation. It permits higher airway pressures compared to other supraglottic devices which may deem it better suited for laparoscopic surgeries. There are limited studies in India comparing the working performance of PLMA and ETT in laparoscopic surgeries. Therefore we planned to conduct a study comparing working characteristics of PLMA and ETT in these surgeries.

The primary aim of the study was to compare parameters for oxygenation and ventilation achieved with PLMA as compared to the ETT. The parameters compared were: 1. FiO2 changes to maintain SpO2 > 95%. 2. Changes in inspiratory pressure and respiratory rate to maintain an end-tidal carbon dioxide (EtCO2) between 35-45 mmHg. The secondary objectives included: a. Changes in heart rate and blood pressure accompanying insertion/removal of PLMA as compared to ETT. b. Time taken to achieve an effective airway. c. Time taken for insertion of Ryles’s tube as well as ease of insertion. d. Incidence of complications between the two groups (sore throat, airway trauma). e. Airway interventions required if any and cuff leak pressures.

Materials and Methods

Based on power analysis on the study done by Namita S et al., the sample size required to observe a significant difference in study parameters was 30 per group. The sample size was computed assuming a two-sided test with Type 1 error of 5 (α =0.05) and power of
An observational study of prospective type was planned. After ethics committee approval, sixty patients aged between 18 to 60 years, American Society of Anaesthesiology (ASA) class I and II posted for laparoscopic surgeries under general anaesthesia were chosen for the study. The study subjects were distributed equally into two groups.

Group P (n=30) – Patients receiving PLMA of size 3, 4, or 5 (according to weight)

Group ETT (n=30) – Patients receiving ETT (size 7.5 for females and 8.5 for males)

Exclusion criteria included patients with anticipated difficult airway including morbid obesity (BMI > 35kg/m²) and cervical spine pathology, cardiopulmonary illness, increased risk of aspiration (GERD, hiatus hernia, obstetric patients, and inadequate fasting status), history of recent respiratory tract infection and patient refusal.

Written informed consent was taken from all patients satisfying the inclusion and exclusion criteria. According to the type of the airway device they received, ETT vs. PLMA (which was decided by the concerned consultant anesthetist), they were allocated to the respective group and were observed for the required parameters.

The anesthetic procedure followed was as per standard department protocol, which is as follows: All patients were kept fasting overnight and received Tablet Alprazolam 0.25 mg and Capsule Omeprazole 20 mg, orally, night before surgery. Routine monitoring including SpO₂, ECG, NIBP, EtCO₂ and Temperature was initiated in all patients in the operation theatre. Premedication with 0.004 mg/kg of Glycopyrrolate, 0.02 mg/kg of Midazolam and 0.08 mg/kg of Ondansetron intravenously (i.v.) was administered by the anaesthetic team prior to the induction of general anaesthesia. General anaesthesia was induced with fentanyl 2 microgram/kg and propofol 1% 1-2 mg/kg i.v. after pre-oxygenation for 3 minutes with 100% oxygen. Neuromuscular blockade was achieved with atracurium 0.5 mg/kg i.v.

Following induction and paralysis, the corresponding airway was inserted in each group as per standard recommendation, by the anaesthetist. Proper insertion of airway device was confirmed by bilateral equal air entry over chest, square wave capnography, absence of audible leak with peak airway pressure (PAP) of 20 cm H₂O, and absence of gastric insufflations by auscultation over epigastrium. General anaesthesia was maintained with air, oxygen and isoflurane with pressure controlled ventilation and divided doses of atracurium and fentanyl. The ventilator settings were kept initially at FiO₂: 0.40, respiratory rate of 12, and inspiratory pressure of 15 cms H₂O and I/E ratio of 1:2. FiO₂, respiratory rate and inspiratory pressure was varied to maintain a target SpO₂> 95% and ETCO₂ between 35-45 mmHg. After completion of surgery, FiO₂ was increased to 100% and neuromuscular blockade was reversed with Neostigmine 50 microgram/kg and. Glycopyrrolate 0.01 mg/kg i.v and airway device (PLMA or ETT) was removed after adequate clinical recovery of neuromuscular block and return of consciousness.

Perioperatively the following outcomes were measured from baseline values till 5 minutes after removal of the airway:

**Primary Objectives**
1. FiO₂ changes to maintain SpO₂ > 95%.
2. Changes in inspiratory pressure and respiratory rate to maintain an end-tidal carbon dioxide (EtCO₂) between 35-45 mmHg

The secondary objectives
a. Changes in heart rate and blood pressure accompanying insertion/removal of PLMA as compared to ETT.
b. Time taken to achieve an effective airway.
c. Time taken for insertion of Ryle’s tube as well as ease of insertion.
d. Incidence of complications between the two groups (sore throat, airway trauma).
e. Airway interventions required if any and cuff leak pressures. (Oropharyngeal leak pressure (OPLP) was determined by closing the expiratory valve against a fixed gas flow of 5 l/min and recording the airway pressure at which equilibrium was reached. The airway pressure was not allowed to exceed 40 cm H₂O)

**Statistical Analysis**
Data was entered into Microsoft Excel data sheet and was analyzed using SPSS 22 version software. Categorical data was represented in the form of frequencies and proportions. Chi-square test was used as test of significance for qualitative data. Continuous data was represented as mean and SD. Independent t test was used as test of significance to identify the mean difference between two quantitative variables. Repeated measures ANOVA was used to compare the outcome measures over time adjusted for duration of surgery and anaesthesia. As per standard statistical models, P values <0.001 were considered very highly significant, <0.01 highly significant, <0.05 significant.

**Observations and Results**
Average age, weight, height, BMI as well as gender and ASA class distribution between groups was similar (Table 1). Duration of pneumoperitoneum between groups was also similar. But difference in mean duration of surgery and anaesthesia between two groups was significant (Table 2).

Although there was no significant difference in mean time taken for airway device insertion between two groups, difference in mean RT insertion time between two groups was statistically significant (Table 3). In Group P, airway device was inserted in 1st
attempt among 76.7%, 2nd attempt in 13.3% and 3rd attempt in 10% of patients. In Group ETT, airway device was inserted in 1st attempt in 100% of subjects. In Group P, airway insertion was easy, moderately easy and difficult in 80, 10 and 10 percent of patients respectively. In ETT group, airway insertion was easy in 100%. This difference in number of attempts and ease of insertion for airway device between two groups was statistically significant (Table 4). The difference in number of attempts and ease of insertion for Ryle’s tube between two groups was statistically not significant but the difference in mean Ryle’s tube insertion time was significant. Mean time for Ryle’s tube insertion was 21.2±12.3 seconds in group P and 74.8±62.5 seconds in group ETT (Table 5).

In Group P, no patient had PONV, 10% had sore throat and 20% had blood staining. In Group ETT, 6.7% had PONV, 33.3% had sore throat and 13.3% had blood staining. There was significant difference in Sore throat between two groups (Fig. 1).

Mean Intra-abdominal pressure (IAP) in Group P was 13 ± 1.5 mmHg and in Group ETT was 12.4 ± 0.7 mmHg. This difference in mean IAP between two groups was not statistically significant. Mean OPLP in Group P was 31 ± 6 cm H₂O.

Mean HR was significantly higher in Group ETT compared to Group P at Airway insertion, 1 min to 3 min after airway insertion, at airway removal and till 5 min after airway removal (Fig. 2). Mean MAP was significantly high in Group ETT compared to Group P at Airway insertion, 1 min to 3 min after airway insertion, 10 min after insufflations, at airway removal and 1 min after airway removal (Fig. 3).

FiO₂ changes to maintain SPO₂>95% was not needed in both groups at all the intervals of follow-up. FiO₂ pattern in both the groups was similar at all intervals (Fig. 4). Although SPO₂ was maintained above target level of 95% without changes in FiO₂ settings, Mean SpO₂ was significantly high in Group P compared to Group ETT at airway insertion (p=0.04), 3 min after airway insertion (p<0.001), 15 min after Insufflation (p=0.03), at Desufflation (p<0.001) and airway removal (p=0.03) (Fig. 5).

Changes in respiratory rate and inspiratory pressure was needed in both groups to maintain EtCO₂ within target values of 35-45 mmHg. There was significant difference in mean EtCO₂ between two groups at airway insertion (p=0.02), 3 min after insertion (p=0.001), from 10 min to 70 min after Insufflation (p<0.001) and again at Desufflation (p=0.003). At these initial intervals mean EtCO₂ was significantly high in Group P than in Group ETT. After Insufflation mean EtCO₂ was significantly high in Group ETT than in Group P (Fig. 6). Mean respiratory rate was significantly high in Group P than in Group ETT from 10 min to 40 min after Insufflation (p<0.05) and again at Desufflation (p=0.003), 3 min and 5 min after airway removal (p<0.05) (Fig. 7). Mean inspiratory pressure was significantly high in Group P than in Group ETT from 10 min to 45 min (p<0.001), 60 min (p=0.03), 70 min (p=0.02) and 75 min (p=0.03) after Insufflation and again at Desufflation (p=0.01) (Fig. 8). Changes in Mean TV was secondary to changes in inspiratory pressure and respiratory rate and was significantly high in Group ETT than in Group P, from 3 min after airway insertion till 15 min after Insufflation (p=0.01) (Fig. 9).
Table 4: Airway device insertion characteristics - Number of attempts and ease of insertion comparison between two groups

<table>
<thead>
<tr>
<th>Airway device</th>
<th></th>
<th>Group P</th>
<th></th>
<th>Group ETT</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Count</td>
<td>%</td>
<td>Count</td>
<td>%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Number of Attempts</td>
<td>1</td>
<td>23</td>
<td>76.7%</td>
<td>30</td>
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<td>0.019</td>
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<tr>
<td></td>
<td>2</td>
<td>4</td>
<td>13.3%</td>
<td>0</td>
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<td></td>
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<tr>
<td></td>
<td>3</td>
<td>3</td>
<td>10.0%</td>
<td>0</td>
<td>0.0%</td>
<td></td>
</tr>
<tr>
<td>Ease of Insertion</td>
<td>Easy</td>
<td>24</td>
<td>80.0%</td>
<td>30</td>
<td>100.0%</td>
<td>0.036</td>
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<tr>
<td></td>
<td>Moderate</td>
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<td>10.0%</td>
<td>0</td>
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<tr>
<td></td>
<td>Difficult</td>
<td>3</td>
<td>10.0%</td>
<td>0</td>
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</table>

Table 5: Gastric Tube insertion, number of attempts and ease of insertion comparison between two groups

<table>
<thead>
<tr>
<th>Gastric Tube</th>
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<th></th>
<th>Group ETT</th>
<th></th>
<th>P value</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Count</td>
<td>%</td>
<td>Count</td>
<td>%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>No of Attempts</td>
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<td>27</td>
<td>90.0%</td>
<td>21</td>
<td>70.0%</td>
<td>0.093</td>
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<tr>
<td></td>
<td>2</td>
<td>3</td>
<td>10.0%</td>
<td>6</td>
<td>20.0%</td>
<td></td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>0</td>
<td>0.0%</td>
<td>3</td>
<td>10.0%</td>
<td></td>
</tr>
<tr>
<td>Ease of Insertion</td>
<td>Grade 1</td>
<td>25</td>
<td>83.3%</td>
<td>23</td>
<td>76.7%</td>
<td>0.202</td>
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<tr>
<td></td>
<td>Grade 2</td>
<td>5</td>
<td>16.7%</td>
<td>4</td>
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<td></td>
</tr>
<tr>
<td></td>
<td>Grade 3</td>
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<td>3</td>
<td>10.0%</td>
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</tr>
</tbody>
</table>

Fig. 1: Bar diagram showing laryngopharyngeal morbidity comparison between two groups
Comparison of oxygenation and ventilation parameters between...

**Fig. 2:** Line diagram showing mean heart rate comparison between two groups at different time intervals

**Fig. 3:** Line diagram showing MAP comparison between two groups at different time intervals

**Fig. 4:** Line diagram showing Mean FiO₂ comparison between two groups at different time intervals
Comparison of oxygenation and ventilation parameters between...

**Fig. 5:** Line diagram showing Mean SpO2 comparison between two groups at different time intervals

**Fig. 6:** Line diagram showing Mean ETCO2 comparison between two groups at different time intervals
Fig. 7: Line diagram showing Mean RR comparison between two groups at different time intervals

Fig. 8: Line diagram showing Mean Inspiratory Pressure comparison between two groups at different time intervals

Fig. 9: Line diagram showing Mean TV comparison between two groups at different time intervals
Discussion
Proseal laryngeal mask airway is a relatively newer airway device. We compared PLMA with ETT for oxygenation and ventilation in patients undergoing elective laparoscopic surgery. It is a known fact that the increased intra-abdominal pressures associated with pneumoperitoneum require higher airway pressures to be generated for adequate pulmonary ventilation. There have been a few studies on the use of PLMA as an airway device in laparoscopic surgeries.5-7 The primary objective of this study was to compare proseal LMA with endotracheal tube as an airway device for patients undergoing elective laparoscopic surgeries under general anaesthesia. Adequacy of oxygenation was assessed in terms of the FiO2 changes required to maintain SpO2>95% and the adequacy of ventilation was assessed by the changes required in inspiratory pressure and/or respiratory rate to maintain an end-tidal carbon dioxide (EtCO2) between 35-45 mm Hg. After initiation of pneumoperitoneum, rate of transfer of CO2 into blood depends on various factors including duration of pneumoperitoneum.8 Also pneumoperitoneum is associated with splitting of the diaphragm which results in lesser pulmonary compliance and hence the need for higher pressure for similar tidal volumes prior to pneumoperitoneum. In our study, perioperative oxygenation and ventilation parameters were well maintained in both groups. FiO2 changes to maintain SpO2>95% was not needed in both groups at all the intervals of follow-up. Maltby et al9 and Sharma et al10 who did a similar study found no statistical difference in the SpO2 and EtCO2 between the two groups during pneumoperitoneum.

We noted a significant difference in the mean inspiratory pressure between the two groups especially during the period of pneumoperitoneum. Patients with PLMA required higher pressure and increased respiratory rate during pneumoperitoneum in comparison to the ETT group. Sharma and colleagues5 also noted similar findings in their study. EtCO2 was increased to more than 50 mmHg in three of their patients. They attributed this to downfolding of epiglottis. Brimacombe and his colleagues found the incidence of such downfolding of epiglottis to be about 31-66%.10 Therefore the higher resistance associated with PLMA may be the reason for the requirement of slightly higher pressure supports and respiratory rates. Even though this difference was significant, it was within acceptable range, and the EtCO2 remained well within the specified targets.

There was minimum haemodynamic stress response with PLMA when compared with endotracheal intubation. In our study we observed that the mean HR and MAP was higher in ETT group in comparison to the PLMA group at airway insertion and up to about 5 minutes after insertion and similarly at removal and upto 5 minutes after removal of airway device. Laryngoscopy and endotracheal intubation causes autonomic stimulation resulting in hypertension and tachycardia.11,12 Since laryngoscopy is not needed for insertion of PLMA, the autonomic stimulation and ensuing catecholamine release is decreased comparatively.13 In patients with cardiac illness, raised ICP and hypertension, this can make a difference in morbidity.

Although ETT was easier to insert with higher success rate in the first attempt (100%) in comparison to PLMA (76.7%), the mean time taken was comparable (ETT 32.2 s and PLMA 30.6s) and not statistically significant. This first time success rate at insertion of PLMA was similar to the study done by J.M Belena et al.14 In our study this may be attributed to lesser experience and familiarity in the use of PLMA as compared to ETT.

Average time taken to insert Ryle’s tube nasally in intubated patients (74.8s) was significantly higher than time taken to insert it through the PLMA (21.2s). Also first time success rate for the same was higher in PLMA (90%) than with ETT (70%). In PLMA group, OPLP of 31 mmHg was noted. Neither leak nor increase in peak airway pressure beyond OPLP was noted during surgery. OPLP observed in our study was similar to the values noted in earlier studies.6,9,14-16 There have been many studies comparing PLMA with other SADs like LMA-Supreme and Igel11,17,18,20 They have found that both of these devices are suitable alternatives in laparoscopic surgeries, but PLMA has higher OPLP compared to other SADs. Therefore PLMA may be the most suited device for laparoscopic surgeries among SADs.

The mean intra-abdominal pressure during pneumoperitoneum in PLMA group and in the intubated group was not very different, values being 13 and 12 mmHg respectively.

In both groups, there were no instances of aspiration. But in PLMA group, the incidence of sore throat (10%) was much lower than in the ETT group (33%). Similar findings regarding sore throat were noted by Higgins et al17 and Shroff et al15. The pressures exerted on the mucosal surfaces are much less with PLMA and this can explain the difference in incidence of sore throat.22

Jose M Belena etal published a review article on the role of LMA in laparoscopic cholecystectomy and suggested following inclusion guidelines regarding use of LMA in laparoscopic cholecystectomy which include: elective surgeries, ASA 1-3, BMI<30 kg/m2, intra-abdominal pressure of less than 13 mmHg, LMAs with drain channel to be used always.21

Limitations of the study include a. The outcome is applicable to patients with normal respiratory physiology. The acceptable increase in airway pressure and respiratory rate in this study group may be different with adverse impact in patients with altered respiratory mechanics. b. This study is an observational study.
Hence there is no randomisation or blinding involved which could possibly have resulted in some form of bias and hence impacting the validity of results.

To conclude, PLMA is a good alternative airway device to ETT in laparoscopic surgeries. Oxygenation and ventilation parameters were well maintained with very minimal complications with PLMA. Also, the autonomic stimulation with PLMA was much lesser which can be of benefit in patients with ischemic heart disease, raised intracranial pressure etc. It provided equally effective pulmonary ventilation even though the airway pressures required were relatively high without any increase in gastric distension, aspiration and regurgitation.

Conflict of Interest: None

References